

The logo of the Nebraska Racing and Gaming Commission is a circular seal. The outer ring contains the text "NEBRASKA" at the top and "RACING AND GAMING COMMISSION" at the bottom, separated by eight white stars. The inner circle features a large, stylized red "NRG" monogram. Overlaid on the seal is the text "Nebraska Racing and Gaming Commission Independent Testing Lab Certification Application" in a bold, italicized, black serif font.

***Nebraska Racing and
Gaming Commission
Independent Testing
Lab Certification
Application***

NEBRASKA RACING AND GAMING COMMISSION

INDEPENDENT TESTING LAB APPLICATION FOR CERTIFICATION

Pursuant to Nebraska Adm. Code Title 296 Rule 1.001.24, an Independent Testing Laboratory (ITL) must be certified by the Commission to be eligible to certify gaming devices, software, hardware or other technology for compliance with the Nebraska Racetrack Gaming Act and the regulations promulgated by the Commission.

To be considered for certification as an ITL, complete and return this checklist with your application. All applications must be arranged in the order listed below. A complete application will consist of the applicable documents/items listed below and any additional documents/items as may be necessary and/or required by NRGCR Regulations.

NAME OF APPLICANT OR HOLDING COMPANY*

*NAME AS APPEARS ON THE CERTIFICATE OF INCORPORATION, CHARTER, BYLAWS, PARTNERSHIP AGREEMENT OR OTHER OFFICIAL DOCUMENT.

D/B/A OR TRADE NAME(S)

FEDERAL TAX ID OR SOCIAL SECURITY NUMBER*

PERSON TO BE CONTACTED IN REFERENCE TO THESE FORMS:

NAME

TITLE

TELEPHONE: (AREA CODE) NUMBER

FAX NO. (IF AVAILABLE)

E-MAIL ADDRESS

THE PRINCIPAL BUSINESS ADDRESS OF THE APPLICANT OR HOLDING COMPANY:

STREET LOCATION (NUMBER/STREET) CITY STATE ZIP

COUNTRY TELEPHONE: (AREA CODE) NUMBER FAX NO. (IF AVAILABLE)

MAILING ADDRESS (IF DIFFERENT) CITY STATE ZIP

WEBSITE ADDRESS

Check the appropriate box:

- ☐ This is an initial request for Certification as a recognized Independent Testing Lab.
- ☐ This is a renewal Gaming-Related Vendor License Application or Holding Company Form.

A. Provide a list of other jurisdictions where you are certified or licensed as an ITL

B. Information Required to Demonstrate Suitability as an ITL

1. If the applicant has been in business for three or more fiscal years, balance sheets and profit and loss statements for the past three fiscal years. If the entity has not been in existence for the past three fiscal years, such statements covering the period from the creation of the business to the present must be submitted.
 - a. Copies of the following (may be submitted in digital format):
 - b. Copies of all ISO/IEC 17025/17025 and 17065 certification and accreditation materials;
 - c. All ISO required internal controls, policies and procedures;
 - d. Detailed description of the testing facilities;
 - i. Must include security plans, disaster prevention and recovery, and number of employees at each location;
 - e. Detailed organizational chart and description of available testing, technical compliance, math, quality assurance, quality management, legal, and regulatory compliance staff.
 - i. Documentation must include staff qualifications, including education, training, experience, and areas of responsibility;
 - f. Detailed description of available testing equipment;
 - g. Copies of documented policies, systems, programs, procedures and instructions to assure the quality of test results;
 - h. Copies of all test scripts to be used for testing against the applicable Nebraska statutes, regulations, standards, and policies.

2. Information regarding the business organization and ownership of the applicant, including, but not limited to:
 - ☐ Organization chart depicting the ownership structure of the applicant, including, but not limited to, any parent and affiliated organizations;
 - ☐ Organization chart depicting the applicant's management structure;
 - ☐ List of all key employees and other individuals who have significant involvement with the applicant's business operations;
 - ☐ List of all officers, directors, partners, members, managers, trustees or direct or beneficial owners of the independent testing laboratory and of any person or entity that owns or has significant involvement with the activities of the independent testing laboratory, including any intermediary entities.
3. A check or money order in the amount of \$2,000 per applicant or entity, to cover the application fee. Checks are to be made payable to the Nebraska Racing and Gaming Commission. Cash is not accepted.
4. Costs of investigation will be charged as provided by statute and regulation. The total cost of the investigation is to be borne by the applicant. This cost includes all transportation, food and lodging; plus an hourly charge for the time expended by the agent or agents assigned to the application. Before the investigation can begin the applicant must provide a deposit in an amount equal to the total estimated expenses, including the hourly charges. Hourly expenses will be charged for travel time and for the time expended while agents are engaged in the investigation process.

Each applicant needs to complete and sign this checklist attesting the information being provided to the Commission is accurate and complete, agrees to cooperate with all requests, inquiries, or investigations by the Commission. An applicant for a registration is seeking the granting of a privilege, and the burden of proving the qualifications to receive such a registration is always on the applicant. An applicant must accept any risk of adverse public notice, embarrassment, criticism, or other action, or financial loss which may result from action with respect to an application, and expressly waives any claim for damages as a result thereof.

Name:

Date:

Title:

Signature: